

Figure 2 Cubic close-packed (fcc) crystal structure of the inert gases Ne, Ar, Kr, and Xe. The parameters of the cubic cells are 4.46, 5.31, 5.64, and 6.13 Å, respectively, at 4°K.

What holds an inert gas crystal together? We believe that the electron distribution in the crystal cannot be significantly distorted from the electron distribution around the free atoms, because the cohesive energy of an atom in the crystal is only one percent or less of the ionization energy of an atom. Thus not much energy is available to distort the electron charge distributions. Part of this distortion is the van der Waals interaction.

**Van der Waals-London Interaction**

Consider two identical inert gas atoms at a separation  $R$  large in comparison with any reasonable measure of the radii of the atoms. What interactions exist between the two neutral atoms?

If the charge distributions on the atoms were rigid, the interaction between atoms would be zero, because the electrostatic potential of a spherical distribution of electronic charge is canceled outside a neutral atom by the electrostatic potential of the charge on the nucleus. Then the inert gas atoms would show no cohesion and could not condense, contrary to experiment. It is known that the time-average electric moments are all zero. But the electrons

Table 2. Ionization energies of the elements.  
The total energy required to remove the first two electrons is the sum of the first and second ionization potentials. Source: National Bureau of Standards Circular 467.

Li	5.39	81.01	Na	5.14	52.43	Al	5.98	24.80	Si	8.15	24.49	B	8.30	33.45	AI	5.98	24.80
Be	9.32	27.53	Mg	7.64	22.67	C	11.26	35.64	S	10.55	30.20	N	14.54	44.14	P	10.55	30.20
						O	13.61	48.76	Cl	13.01	36.81	F	17.42	52.40	Ar	15.76	43.38
						Ne	21.56	62.63									

Energy to remove one electron, in eV.  $\longleftrightarrow$   
 Energy to remove two electrons, in eV.  $\longleftrightarrow$

motion around the nucleus even in the lowest electronic state, and at any instant of time there is likely to be a nonvanishing electric dipole moment from this motion.<sup>3</sup> An instantaneous dipole moment of magnitude  $p_1$  on one atom (Fig. 3) produces an electric field  $E$  of magnitude  $2p_1/R^3$  at the center of the second atom distant  $R$  from the first atom. This field will induce an instantaneous dipole moment  $p_2 = \alpha E = 2\alpha p_1/R^3$  on the second atom; here  $\alpha$  is the electronic polarizability, defined in Eq. (13.31) as the dipole moment per unit electric field.

The standard result of electrostatics for the energy of interaction of two dipoles of moment  $\mathbf{p}_1$  and  $\mathbf{p}_2$  separated by  $\mathbf{R}$  is

$$\text{(CGS)} \quad U(R) = \frac{\mathbf{p}_1 \cdot \mathbf{p}_2}{R^3} - \frac{3(\mathbf{p}_1 \cdot \mathbf{R})(\mathbf{p}_2 \cdot \mathbf{R})}{R^5}. \quad (1a)$$

Because the induced moments in the van der Waals interaction are parallel, we have for the potential energy of the dipole moments

$$\text{(CGS)} \quad U(R) \approx -\frac{2p_1 p_2}{R^3} = -\frac{4\alpha p_1^2}{R^6}. \quad (1b)$$

The interaction is attractive. To obtain (1) in SI, multiply the right-hand side by  $1/4\pi\epsilon_0$ .

The coefficient  $\alpha p_1^2$  may be estimated as follows: Electronic polarizabilities have dimensions [length]<sup>3</sup> as we see from the relation  $p_2 = 2\alpha p_1/R^3$  above; and the relevant length is an atomic radius, denoted by  $r_0$ . Dipole moments have dimensions [charge]  $\times$  [length] and have magnitudes of the order of  $er_0$ . Thus

$$\begin{aligned} \text{(CGS)} \quad U(R) &\approx -\frac{4e^2 r_0^5}{R^6} \\ &\approx -\frac{4(5 \times 10^{-10})^2 (1 \times 10^{-8})^5}{R^6} \approx -\frac{10^{-58}}{R^6}, \quad (2) \end{aligned}$$

in ergs for  $R$  in cm. We have taken  $r_0 \approx 10^{-8}$  cm.

We write the interaction as

$$U(R) = -\frac{C}{R^6}. \quad (3)$$

<sup>3</sup>The semiclassical model leads to the correct result, but the language is not to be taken entirely literally. A simple quantum-mechanical model (two harmonic oscillators) is developed in Advanced Topic B.

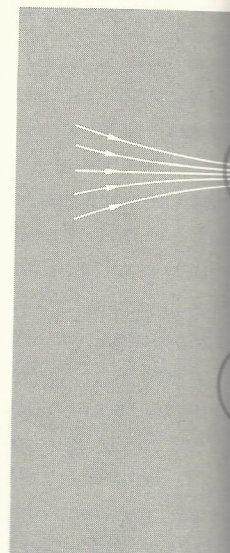


Figure 3 Origin of the electric field  $E$  at atom 2, which induces a dipole moment  $p_2$  on atom 2. The field  $E$  is shown at two times,  $t_a$  and  $t_b$ . The interaction is tighter the binding.

This is known variously as the van der Waals interaction, or the induced dipole-dipole interaction in crystals of inert gases. Taking  $C \approx 10^{-58}$  erg cm<sup>6</sup> for krypton has the value  $U \approx -10^{-58} / (10^{-8})^6 \approx -10^{-58} / 10^{-48} = -10^{-10}$  erg, of the order of the binding energy in gas crystals.

Because of the  $R^{-6}$  dependence, the interaction is very strong at small distances. For example, at the equilibrium distance of the van der Waals interaction for the above value of  $C$ , a temperature of  $k_B T \approx 100^\circ\text{K}$ , is greater, which would account for the low boiling point of copper (Table 1).

<sup>4</sup>The quantum-mechanical theory is given in *Advances in chemical physics*, Vol. 1, 1967, and S. Doniach, *Phil. Mag.* 8, 15 (1967).

<sup>5</sup>It is easier to grasp the magnitude of the interaction if we define an effective temperature which we define

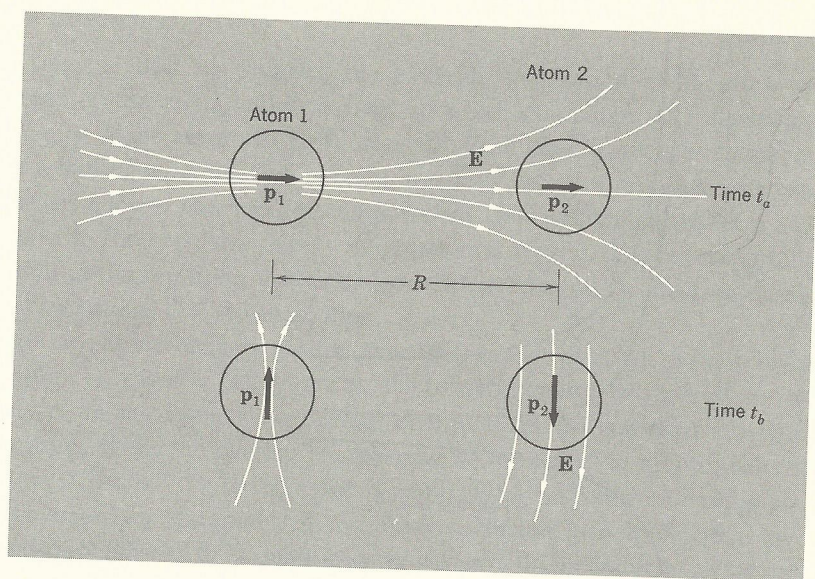


Figure 3 Origin of the van der Waals interaction, according to a classical argument. At one instant of time there is a dipole moment  $p_1$  on atom 1. This produces an electric field  $E$  at atom 2, which acquires an induced dipole moment  $p_2$ . Diagrams are shown for two times,  $t_a$  and  $t_b$ . The interaction is always attractive: the closer the atoms, the tighter the binding.

This is known variously as the **van der Waals interaction**,<sup>4</sup> the London interaction, or the induced dipole-dipole interaction. It is the principal attractive interaction in crystals of inert gases and also in crystals of many organic molecules. Taking  $C \approx 10^{-58}$  erg-cm<sup>6</sup>, the interaction<sup>5</sup> at a separation  $R = 4 \text{ \AA}$  as krypton has the value  $U \approx 2 \times 10^{-14}$  erg, whence in temperature units  $k_B T \approx 100^\circ\text{K}$ , of the order of magnitude of the melting temperature of inert gas crystals.

Because of the  $R^{-6}$  dependence the interaction increases rapidly at shorter distances. For example, at the interatomic separation of metallic copper,  $2.55 \text{ \AA}$ , the van der Waals interaction between the  $\text{Cu}^+$  ion cores is  $\approx 2 \times 10^{-13}$  ergs for the above value of  $C$ . A better value of  $C$  may be as much as ten times greater, which would account for a substantial part of the high cohesive energy of copper (Table 1).

<sup>4</sup>The quantum-mechanical theory is discussed by H. Margenau, *Revs. Mod. Phys.* 11, 1 (1939); also *Advances in chemical physics* 12: *Intermolecular forces*, J. O. Hirschfelder, ed., Interscience, 1963, and S. Doniach, *Phil. Mag.* 8, 129 (1963).

<sup>5</sup>It is easier to grasp the magnitude of an interaction energy  $U$  if it is expressed in terms of an equivalent temperature which we define by the relation  $k_B T = U$ , where  $k_B$  is the Boltzmann constant.

## Advanced Topic B

### DERIVATION OF THE VAN DER WAALS INTERACTION

We consider two identical linear harmonic oscillators 1 and 2 separated by  $R$ . Each oscillator bears charges  $\pm e$  with separations  $x_1$  and  $x_2$ , as in Fig. 1. The particles oscillate along the  $x$  axis. Let  $p_1$  and  $p_2$  denote the momenta  $m(dx_1/dt)$  and  $m(dx_2/dt)$ . Then the hamiltonian of the unperturbed system is

$$\mathcal{H}_0 = \frac{1}{2m} p_1^2 + \frac{1}{2} \beta x_1^2 + \frac{1}{2m} p_2^2 + \frac{1}{2} \beta x_2^2 . \quad (1)$$

The uncoupled oscillators each have the resonance frequency

$$\omega_0 = \left( \frac{\beta}{m} \right)^{\frac{1}{2}} \quad (2)$$

appropriate to a simple harmonic oscillator.

Let  $\mathcal{H}_1$  be the interaction energy of the two oscillators. The geometry is shown in the figure. The internuclear coordinate is  $R$ . Then

$$\mathcal{H}_1 = \frac{e^2}{R} + \frac{e^2}{R + x_1 - x_2} - \frac{e^2}{R + x_1} - \frac{e^2}{R - x_2} ; \quad (3a)$$

in the approximation  $|x_1|, |x_2| \ll R$  we have

$$\mathcal{H}_1 \cong - \frac{2e^2 x_1 x_2}{R^3} . \quad (3b)$$

The total hamiltonian with the approximate form (3b) for  $\mathcal{H}_1$  can be diagonalized by the normal mode transformation

$$x_s \equiv \frac{1}{\sqrt{2}} (x_1 + x_2) ; \quad x_a \equiv \frac{1}{\sqrt{2}} (x_1 - x_2) , \quad (4)$$

or, on solving for  $x_1$  and  $x_2$ ,

$$x_1 = \frac{1}{\sqrt{2}} (x_s + x_a) ; \quad x_2 = \frac{1}{\sqrt{2}} (x_s - x_a) . \quad (5)$$

The subscript  $s$  and  $a$  denote symmetric and antisymmetric modes of motion. Further, we have the momenta  $p_s, p_a$  associated with the two modes:

$$p_1 \equiv \frac{1}{\sqrt{2}} (p_s + p_a) ; \quad p_2 \equiv \frac{1}{\sqrt{2}} (p_s - p_a) . \quad (6)$$

The total hamiltonian

$$\mathcal{H} = \left[ \frac{1}{2m} p_s^2 + \frac{1}{2} \left( \beta \pm \frac{2e^2}{R^3} \right) x_s^2 \right] + \dots$$

The two frequencies of the modes are to be

$$\omega = \left[ \left( \beta \pm \frac{2e^2}{R^3} \right) / m \right]^{\frac{1}{2}}$$

with  $\omega_0$  given by (2). In the absence of interaction

The zero point energy is shifted because of the interaction

$$\Delta U = \frac{1}{2} \hbar \Delta \omega$$

This is an attractive interaction. The zero point energy is lowered because of the interaction.

The polarizability of the molecule is

$$\alpha \equiv \frac{\partial^2 U}{\partial E^2}$$

by the argument of Chap. 1.

where  $C \equiv \hbar \omega_0 \alpha^2$ . This is the zero point energy of the interaction. This interaction is a quantum effect. To summarize: the zero point energy is lowered because of the interaction. The coupling  $\mathcal{H}_1 = -2e^2 x_1 x_2 / R^3$  averaged over an isolated molecule is zero.

There is even an attractive interaction between two molecules.

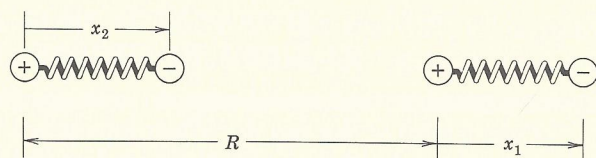


Figure 1 Coordinates of the two oscillators.

The total hamiltonian  $\mathcal{H}_0 + \mathcal{H}_1$  after the transformations (5) and (6) is

$$\mathcal{H} = \left[ \frac{1}{2m} p_s^2 + \frac{1}{2} \left( \beta - \frac{2e^2}{R^3} \right) x_s^2 \right] + \left[ \frac{1}{2m} p_a^2 + \frac{1}{2} \left( \beta + \frac{2e^2}{R^3} \right) x_a^2 \right]. \quad (7)$$

The two frequencies of the coupled oscillators are found by inspection of (7) to be

$$\omega = \left[ \left( \beta \pm \frac{2e^2}{R^3} \right) / m \right]^{\frac{1}{2}} \cong \omega_0 \left[ 1 \pm \frac{1}{2} \left( \frac{2e^2}{\beta R^3} \right) - \frac{1}{8} \left( \frac{2e^2}{\beta R^3} \right)^2 + \dots \right], \quad (8)$$

with  $\omega_0$  given by (2). In (8) we have expanded the square root.

The zero point energy of the system is  $\frac{1}{2}\hbar(\omega_s + \omega_a)$ ; the sum is lowered because of the interaction by the amount

$$\Delta U = \frac{1}{2}\hbar(\Delta\omega_s + \Delta\omega_a) = -\hbar\omega_0 \cdot \frac{1}{8} \left( \frac{2e^2}{\beta R^3} \right)^2. \quad (9)$$

This is an attractive interaction which varies as the minus sixth power of the separation of the two oscillators.

The polarizability of an oscillator is

$$\alpha \equiv \frac{\text{electric dipole moment}}{\text{electric field intensity}} = \frac{e^2}{\beta}, \quad (10)$$

by the argument of Chap. 13. Thus

$$\Delta U = -\hbar\omega_0 \frac{\alpha^2}{2R^6} = -\frac{C}{R^6}, \quad (11)$$

where  $C \equiv \hbar\omega_0\alpha^2$ . This is the van der Waals interaction of Eq. (3.3). The interaction is a quantum effect, in the sense that  $\Delta U \rightarrow 0$  as  $\hbar \rightarrow 0$ . To summarize: the zero point energy of the system is lowered by the dipole-dipole coupling  $\mathcal{H}_1 = -2e^2x_1x_2/R^3$  of Eq. (3), even though the dipole moment  $-ex_1$  averaged over an isolated atom is zero.

There is even an attractive van der Waals interaction between the plates

